Instructions Rev. 01/19/10

# COMMONWEALTH OF KENTUCKY Instructions for Obtaining a Kentucky State ABC License



#### **REQUIREMENTS:**

- a. You must be at least 21 years of age to apply.
- b. You must be a Ky. Resident for the past year unless you apply as a corporation, LLC or Ltd. Partnership.
- c. You must be a citizen of the US unless you apply as a corporation, LLC or Ltd. Partnership ownership.
- d. Individuals, partners, officers, directors or managers may not apply if, within the past 5 years, they have been convicted of any felony; or within the past 2 years have been convicted of any alcohol or controlled substance misdemeanor.
- STEP 1. You must advertise your intention to apply for an ABC license(s) one time in a newspaper of general circulation in the area where the premises to be licensed is located. An officer of the newspaper must complete the affidavit of publication, which is enclosed. The completed affidavit and clipping must be attached to your application.
- STEP 2. Answer all questions and have the form notarized. Incomplete or deficient applications delay processing and your application may be returned.
- STEP 3. Attach a **certified check, cashier check, or money order payable to: Kentucky State Treasurer** for your application fee(s). See the attachment to determine the appropriate amount due based on license type and premises location.

  WE MAY NOT ACCEPT CASH BY MAIL OR HAND DELIVERY!!!!!!
- STEP 4. All applicants are responsible for providing a recent copy (no more than 30 days old) of a **statewide** police criminal background check from all states where you have resided for the past (5) years. Attached are instructions on how to obtain a statewide criminal background check. For Kentucky dial (800) 928-6381 or go to <a href="https://www.kycourts.ky.gov">www.kycourts.ky.gov</a>
- STEP 5. If you apply as a corporation, limited partnership, or limited liability company, attach a copy of your articles of incorporation, partnership papers, or organizational papers from the Secretary of State. Your company must be approved to do business in the state of Kentucky and in good standing with the Kentucky Secretary of State's Office.
- STEP 6. If you own the real estate where you proposed to sell alcoholic beverages, please attach a copy of a valid deed on file with the County Clerk. If you do not own the real estate where you are proposing to sell alcoholic beverages, please provide a copy of a current and fully executed lease. (Land contracts are not acceptable).
- STEP 7. Contact the Kentucky Revenue Cabinet at (502) 564-3306 to obtain the proper Kentucky sales tax numbers for your business. Your license will not be issued without these numbers.
- STEP 9. Attach any other required affidavits such as: fire marshal certificate of seating capacity for restaurants; surety bonds for manufacturers and wholesalers, etc... Your Schedule(s) will list any attachments you need to make.
- STEP 10. (LOCAL LICENSING)

  Take your application to your local ABC administrator and obtain their signature of approval on your state application. There may be local requirements and fees in addition to this state application you must meet. The longer your state application sits in the local office pending approval the longer it will take the state ABC to process your application. Therefore, it is to your advantage to forward your state application to Frankfort as soon as possible.

Visit our web site for a list of the Local Administrator in your area at http://abc.ky.gov/

(TIME) New licenses take the State ABC Office approximately 30 – 60 days to process. If your license is not issued for any reason, you must submit a <u>written request for a refund</u>. The Office will retain \$50 of your application fee for processing costs.

#### If you have any questions or need assistance, please contact our Office or visit our web site.

FRANKFORT: Dept. of Alcoholic Beverage Control http://abc.ky.gov

1003 Twilight Trail Frankfort, KY 40601-8400 (502) 564-4850 phone (502) 564-1442 fax

(FEDERAL You are required to obtain a Federal "Special Occupational Tax" Stamp or a "Federal Basic Permit" from the Alcohol

and Tobacco Tax and Trade Bureau (TTB).

**LICENSE**) Forms and instructions are available on line at <u>www.ttb.gov</u>

By e-mail at: <u>ttbtaxstamp@ttb.gov</u> By mail or in person listed below:

Federal Alcohol and Tobacco Tax and Trade Bureau National Revenue Center, Suite 8002 550 Main St., Cincinnati, Ohio 45202-5215 (513) 684-3334 Cincinnati number (1-800-937-8864) Revised 01/19/10

# Commonwealth of Kentucky Department of Alcoholic Beverage Control

1003 Twilight Trail Frankfort, Ky. 40601

(502) 564-4850 phone (502) 564-1442 fax

## HOW TO OBTAIN YOUR STATE CRIMINAL HISTORY INFORMATION GO TO THE TELEPHONE NUMBER OF WEB LINK BELOW

Alabama 334-353-1172 www.dps.state.al.us/public/abi/cic.asp

Alaska 907-269-5767 www.dps.state.ak.us/statewide/background/index.asp

Arizona 602-223-2222 www.azdps.gov/reports/fingerprint/faq/default.asp

Arkansas 501-618-8500 www.asp.state.ar.us/demo/criminal/help\_p2.php#122

California Please contact our office for information.

Colorado 303-239-4208 https://www.cbirecordscheck.com

Connecticut 860-685-8480 www.state.ct.us/dps/spbi.htm

Delaware Please contact our office for information.

Florida 850-410-8109 www.fdle.state.fl.us/CriminalHistory/

Georgia 404-986-5000 www.ganet.org/gbi/crimhist.html

Hawaii 808-587-3100 www.hawaii.gov/hcjdc/form.htm

Idaho 208-884-7130 www.isp.state.id.us/identification/crime history/index.html

Illinois 815-740-5160 www.isp.state.il.us/crime/uciahome.cfm

Indiana 317-233-2010 www.in.gov/ai/hr/verification.html

Iowa 515-281-4776 www.state.ia.us/government/dps/dci/crimhist.htm

Kansas 785-296-6518 www.accesskansas.org/kbi/criminalhistory/

Kentucky 800-928-6381 or 502-573-1682 <a href="http://www.courts.ky.gov">www.kycourts.ky.gov</a>
Effective January 19, 2010 all applicants that are Kentucky residents are required to obtain and submit their own Kentucky police record/criminal background check from the Kentucky Administrative Office of the Courts (KAOC). Kentucky ABC will no longer be accepting payment for or requesting criminal background checks on behalf of the applicant. Please go to the AOC website for full instructions on obtaining background checks.

<a href="http://www.courts.ky.gov/aoc/AOCFastCheck.htm">http://www.courts.ky.gov/aoc/AOCFastCheck.htm</a>

Louisiana 225-925-6095 www.lsp.org/who support.html#criminal

Maine 207-624-7240 www.informe.org/PCR/

Maryland 888-795-0011 www.dpscs.state.md.us/publicservs/bgchecks.shtml

Massachusetts 617-660-4600 http://www.mass.gov/chsb/

Michigan 517-322-1956 www.michigan.gov/ichat

Minnesota 651-793-2400 www.dps.state.mn.us/bca/CJIS/Documents/Page-3-1.html

Mississippi Please contact our office for information.

Missouri 573-526-6153 www.mshp.dps.missouri.gov

Montana 406-444-3625 www.doj.state.mt.us/enforcement/backgroundchecks.asp

Nebraska 402-471-4545 www.nsp.state.ne.us/findfile.asp?ID=209

Nevada 775-687-1600 www.nvrepository.state.nv.us/

**New Hampshire** 603-271-2538 www.state.nh.us/safety/nhsp/cr.html#criminal

New Jersey 609-882-2000 ext 2918 www.state.nj.us/lps/njsp/about/serv\_chrc.html#background

**New Mexico** 505-827-9181 www.dps.nm.org/faq/record\_request.htm

New York 518-485-7675 www.criminaljustice.state.ny.us/ojis/recordreview.htm

North Carolina www.nccourts.org/citizens/GoToCourt/Default.asp?topic=1

North Dakota 701-328-5510 www.ag.state.nd.us/bci/chr/chr.html

Ohio 740-845-2375 www.webcheck.ag.state.oh.us

Oklahoma 405-848-6742 http://www.osbi.state.ok.us/PublicServices.htm

**Oregon** http://egov.oregon.gov/osp/ID/does/crim\_history.pdf

Pennsylvania 717-783-5494 http://epatch.state.pa.us/Home.jsp

Rhode Island 401-274-4400 http://www.riag.ri.gov/criminal/bci.php

South Carolina 803-737-9000 www.sled.state.sc.us/default.htm

South Dakota 605-773-3331 dci.sd.gov/administration/id/cch.htm

Tennessee 304-625-5590 www.tbi.state.tn.us/divisions/isd riu faqs.htm

Texas 512-424-2079 http://records.txdps.state.tx.us/dps web/APP PORTAL/index.aspx

Utah 801-965-4445 bci.utah.gov/Records/RecOwnRecord.html

Vermont 802-244-8727 ext 5237 www.dps.state.vt.us/cjs/recordcheck6.html

Virginia http://www.vsp.state.va.us/cjis.htm

Washington watch.wsp.wa.gov/

West Virginia Please contact our office for information.

Wisconsin 608-266-5764 www.doj.state.wi.us/dles/cib/crimback.asp#Q9

Wyoming attorneygeneral.state.wy.us/dci/chc.html

publication. (End of advertisement)

## EXAMPLE OF PUBLIC NOTICE WHEN APPLYING FOR AN ABC LICENSE

**KRS 243.360** requires a person to first advertise their intention to apply for these licenses in the newspaper. Please use the following to assist you with this requirement. Place your advertisement in the legal section of the newspaper having the largest circulation for the county or city where your premises will be located.

## YOUR ADVERTISEMENT SHOULD READ AS FOLLOWS: (Fill in the blanks)

List the Name of each individu	ial owner(s) or the name of the	e Corporation, Li	d, or L.L.C. the license will be iss	
			Hereby	declares intention(s)
	(Include Street, City, Sta	ate and Zip)		
o apply for a				license(s)
(List <u>all license types</u> you a	re applying for. (Example), Be	er Distributor, Lid	quor and Wine Wholesaler, Smal	l Farm Winery Wholesale
		and so on)		
( <u><b>Be sure</b></u> to refer to y	our ABC Schedule form for a	complete list of a	all the license types you are mak	ing application for.)
o later than			, The business	to be licensed will be
(Enter the d	date you intend to make applic	cation to the State	e ABC)	
ocated at			Kentuc	ky
	ACT street address and city w			(Zip)
,	<u> </u>		,	· • • • • • • • • • • • • • • • • • • •
<u></u>			business (D.B.A.))	
he (owner(s): Principal Ofi	ficers and Directors; Limited	•	, ,,	
(66.(6),6.		of		
itle or position	Name	0,		
itle or position	INAIIIE		Home address, city, state	•
		of		
itle or position	Name		Home address, city, state	e and zip code
		of		
Title or position	Name		Home address, city, state	and zip code
,		of		
ītle or position	Name		Home address, city, state	and zip code
		of		
			Home address, city, state	and zip code
,  itle or position	Name			
Fitle or position	Name	of		•

Forward a clipping of this advertisement along with the Affidavit of Publication to:

Kentucky Dept of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400 (502) 564-4850 phone (502) 564-1442) fax Page 2 – Advertisement Rev. 01/19/10

Commonwealth of Kentucky

Dept. of Alcoholic Beverage Control
1003 Twilight Trail
Frankfort, Kentucky 40601-8400

(502) 564-4850 phone

#### **AFFIDAVIT OF PUBLICATION**

(502) 564-1442 fax

<u>Attesting Publication of Intention to Engage in an</u>
<u>Alcoholic Beverage Business</u>



The following Affidavit of Publication is to be executed by an officer of the newspaper in which the application advertised, one time before the date of application for an alcoholic beverage license, his/her intention to engage in the business authorized by the license(s) applied for. A clipping of the advertisement must be attached to this Affidavit of Publication. (Name of Officer at Newspaper) (State) Being first duly sworn, says that he / she is (Title of Position at Paper) a newspaper printed and published in the (Name of Newspaper) State of \_\_\_\_\_\_\_, and having a general circulation in the County of , Kentucky, and that the attached advertisement is a true copy and has been Published in said newspaper on the following date(s): Signature of Officer Subscribed and sworn to before me, a Notary Public within and for the State and County aforesaid, by to me personally known, this \_\_\_\_\_day of \_\_\_\_ (year) \_\_\_\_\_ My Commission expires the \_\_\_\_\_day of \_\_\_\_\_ (year) \_\_\_\_\_ Notary Public County of

THIS AFFIDAVIT PROPERLY EXECUTED MUST BE ATTACHED TO THE ABC APPLICATION FOR LICENSING.

- Pick the County where your premises are to be located from this chart.
- Pick the month you want the license(s) to become effective.
- Which fee will you pay?□ A full Year Fee □ A half Year Fee
- Go to the back page of your application "Schedule" and find the exact dollar (\$) amount to pay.

	Name of County	Full Voors Foo	Half Voars Foo
County Code	Name of County	Full Years Fee	Half Years Fee
1.	Adair	May – October	November – April
2.	Allen	May – October	November – April
3.	Anderson	July – December	January – June
4.	Ballard	January - June	July - December
5.	Barren	May – October	November – April
6.	Bath	May – October	November - April
7.	Bell	June –November	December - May
8.	Boone	October – March	April – September
9.	Bourbon	July – December	January – June
10.	Boyd	July – December	January – June
11.	Boyle	June –November	December - May
12.	Bracken	July – December	January – June
13.	Breathitt	May – October	November - April
14.	Breckinridge	February – July	August - January
15.	Bullitt	February – July	August – January
16.	Butler	February – July	August – January
17.	Caldwell	April-September	October - March
18.	Calloway	April – September	October – March
19.	Campbell	November – April	May - October
20.	Carlisle	April – September	October – March
21.	Carroll	July – December	January – June
22.	Carter	July – December	January – June
23.	Casey	May - October	November – April
24.	Christian	April – September	October - March
25.	Clark	May - October	November – April
26.	Clay	May - October	November – April
27.	Clinton	May - October	November – April
28.	Crittenden	April – September	October – March
29.	Cumberland	April – September	October – March
30.	Daviess	February – July	August – January
31.	Edmonson	March – August	September – Feb.
32.	Elliott	May - October	November – April
33.	Estill	May - October	November – April
34.	Fayette by zip codes	By zip codes	By zip codes
	40501-40505	October - March	April - September
	40506-40509	November - April	May - October
	40510-41906	December - May	June - November
35.	Fleming	May – October	November – April
36.	Floyd	June – November	December – May
37.	Franklin	July – December	January – June
38.	Fulton	April – September	October – March
39.	Gallatin	July – December	January – June
40.	Garrard	June – November	December – May
41.	Grant	December – May	June – November
42.	Graves	April – September	October – March

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	_		1 ' '
County Code	Name of County	Full Years Fee	Half Years Fee
43.	Grayson	March – August	September - February
44.	Green	March – August	September - February
45.	Greenup	July - December	January - June
46.	Hancock	January – June	July – December
47.	Hardin	February – July	August – January
48.	Harlan	June – November	December – May
49.	Harrison	June – November	December – May
50.	Hart	March – August	September - February
51.	Henderson	March – August	September – February
52.	Henry	July – December	January – June
53.	Hickman	April – September	October – March
54.	Hopkins	May – October	November – April
55.	Jackson	May – October	November – April
56.	Jefferson by zip codes	By Zip Codes	By Zip Codes
	40023	February – July	August - January
	40025 - 40027	March – August	September - March
	40041	June – November	December -May
	40059	March – August	September - January
	40118 - 40177	April – September	October - March
	40201 - 40202	December - May	June – November
	40203 - 40204	November – April	May -October
	40205	February – July	August – January
	40206	October – March	April - September
	40207 - 40209	June – November	December – May
	40210 - 40212	April – September	October – March
	40213 - 40216	March – August	September –February
	40217 - 40218	February – July	August – January
	40219	March – august	September – February
	40220 - 40242	February – July	August – January
	40243 – 40256	March – August	September – February
	40257 40258	June – November October – March	December – May April – September
	40259	March –August	
	40261 – 40266	December – May	September – February June – November
	40268	October – March	April – September
	40269	March – August	September - February
	40270 – 40289	October – March	April – September
	40290 – 40291	November – April	May – October
	40292	June – November	December – May
	40293 – 40298	November – April	May - October
	40299	March – August	September – February
57.	Jessamine	May – October	November – April
58.	Johnson	June - November	December - May
59.	Kenton	December – May	June – November
60.	Knott	May – October	November - April
61.	Knox	June - November	December - May
62.		March – August	September - February
02.	Larue	March - August	September - February

- Pick the County where your premises are to be located from this chart.
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- Go to the back page of your application "Schedule" and find the exact dollar (\$) amount to pay.

County Code	Name of County	Full Years Fee	Half Years Fee
63.	Laurel	June - November	December - May
64.	Lawrence	May – October	November – April
65.	Lee	May – October	November – April
66.	Leslie	May – October	November – April
67.	Letcher	June - November	December - May
68.	Lewis	July – December	January – June
69.	Lincoln	May – October	November – April
70.	Livingston	April – September	October – March
71.	Logan	May – October	November – April
72.	Lyon	April – September	October – March
73.	McCracken	April – September	October – March
74.	McCreary	January - June	July - December
75.	Mc Lean	March – August	September - February
76.	Madison	June – November	December – May
77.	Magoffin	June – November	December – May
78.	Marion	May – October	November – April
79.	Marshall	April – September	October – March
80.	Martin	May – October	November – April
81.	Mason	July – December	January – June
82.	Meade	February – July	August – January
83.	Menifee	May – October	November – April
84.	Mercer	May – October	November – April
85.	Metcalfe	April – September	October – March
86.	Monroe	April – September	October - March
87.	Montgomery	June – November	December – May
88.	Morgan	May – October	November – April
89.	Muhlenberg	May – October	November - April
90.	Nelson	May – October	November – April
91.	Nicholas	July – December	January – June
92.	Ohio	March – August	September - February
93.	Oldham	July – December	January – June
94.	Owen	February – July	August – January
95.	Owsley	May – October	November – April
96.	Pendleton	July – December	January – June
97.	Perry	June – November	December - May
98.	Pike	July – December	January – June
99.	Powell	May – October	November – April
100.	Pulaski	June – November	December - May
101.	Robertson	July – December	January – June
102.	Rockcastle	May – October	November – April
103.	Rowan	July – December	January – June
104.	Russell	May – October	November – April
105.	Scott	July – December	January – June
106.	Shelby	July – December	January – June

- Pick the County where your premises are to be located from this chart.
- Pick the month you want the license(s) to become effective.
- Which fee will you pay?□ A full Year Fee □ A half Year Fee
- Go to the back page of your application "Schedule" and find the exact dollar (\$) amount to pay.

County Code	Name of County	Full Years Fee	Half Years Fee
107.	Simpson	May - October	November - April
108.	Spencer	February – July	August – January
109.	Taylor	May – October	November - April
110.	Todd	May – October	November – April
111.	Trigg	April – September	October – March
112.	Trimble	February – July	August – January
113.	Union	March – August	September - February
114.	Warren	May – October	November - April
115.	Washington	May – October	November – April
116.	Wayne	May – October	November – April
117.	Webster	March – August	September - February
118.	Whitley	June – November	December - May
119.	Wolfe	July – December	January – June
120.	Woodford	July – December	January - June

Page 1 ABC Basic applicatio	r
Revised: 01/19/10	

#### COMMONWEALTH OF KENTUCKY

#### DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

1003 Twilight Trail Frankfort, Kentucky 40601-8400 502.564.4850 phone 502.564.1442 fax

Site I.D. #	

#### "BASIC APPLICATION FOR ALCOHOLIC BEVERAGE LICENSES"

Applications may be returned it not all questions are answered completely.  Leave Blank – For ABC Use Only								
License #	\$				\$		Val	
License #	\$	Val	License#		\$		Val	
Malt Beverage Administrator's Ap	Malt Beverage Administrator's Approval Date							
Distilled Spirits Administrator's Approval Date								
(A) 1. Applicant's name(s) or con								
DBA (Name of Business)						pplicant's nar	pers (must be issume).	lea in
Address of premises to be licens	ed				Ky. S	Sales & Use	Гах #	
City	County	State	9 digit zip code		1614	Cala la la Lallacia e T	#	
Mailing address if different from a	above				Ky. W	rithholding 1	ax #	
Contact person 8:00 am - 4:30 p	m	e-mail addre	ess	<del></del>	Ky. C	orporate Tax	<b></b>	
Contact phone	Fax	Premises	phone			FINI #		
List all ABC Schedule(s) you hav	e attached	Fee e	enclosed \$		Feder	ai Ein #		
<ul> <li>4. What Month do you want your license(s) to become effective?</li> <li>5. Describe the type of business you will operate and list how you will sell alcoholic beverages.  Check all boxes that apply: Beer: By the drink only, By the package only, Both by the drink and package.  Wine Distilled Spirits: By the drink only, By the package only, Both by the drink and package.</li> <li>6. Are you the owner of the real estate where these premises are to be licensed?</li></ul>								
(D) 7. Complete the following for the bu partners, managing members, me	embers, and sh		held). Show 100%	of the owner	ship.	d. List all ow	ners, officers, dire	ectors,
NAME AND ADDRESS		ALL PHONE NUMBERS H = HOME W = WORK F = FAX 0 = OTHER	SOCIAL SECURITY NUMBER	TITLE	USA CITIZEN	DATE OF BIRTH	LIST DATE & STATE WHERE YOU RESIDED IN PAST 5 YRS.	% OF OWNERSHIP
H W F O								
	H W F O							
		H W F O			□ Yes			%

Page 2 A	ABC Basic	application	01/19/10
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Site		$\Box$	-11	
OHE.	Ι.	IJ.	#	

(E) 8	B. Is the applicant a corporation, limited partnership, or limited liability company, in good standing with the Ky. Secretary of State?  List the State Incorporated or organized in	Yes □No
9.	Is the entire license fee paid by the applicant and by no other person?	Yes ⊡No
10.	Are the premises to be licensed located within an incorporated city or town?  If yes, list the name of the city or town	Yes ⊡No
11.	Have you ever been licensed to sell alcoholic beverages?	Yes □No
	If yes, give the name of the state and license number(s)  If in Kentucky, are you transferring this license to a new location?	Vec No
		162 110
12.	Does anyone named in section D 7 of this application have any interest in any kind of alcoholic beverage business or the premises	
	of any alcoholic beverage business other than that for which you are herein applying?	⊥Yes ∐INO
13.	Has the applicant or any person named in section D 7 been convicted of any felony in the past five (5) years or been convicted of a	
	misdemeanor directly or indirectly related to alcohol or a controlled substance within the past two (2) years?	Yes No
	If yes, you must attach a statement giving a full explanation, including date(s) of conviction(s).	
14.	Has a license been suspended or revoked or denied for the premises to be licensed or any person named in section D7 of this	
	Application herein? If yes, attach a statement giving a full explanation, including dates of suspension, revocation, or denial	Yes □No
15.	Are the premises to be licensed and the entrance located on the street level?	Yes □No
	If no, is the business a hotel, club or restaurant?	Yes □No
16.	a. Have the premises applied for been licensed to sell alcoholic beverages in the past twelve months?	Yes □No
	b. Are the premises currently licensed?	Yes □No
	c. If yes, give the Kentucky License number (s)	
	d. Is the license being transferred to you?	
	e. Are you acquiring an interest in the existing business?	
	If yes, check all the following boxes that apply to you.   Inventory  Fixtures and Equipment  Ownership by purchase of the control of the con	
	☐ Ownership by purchase of assets ☐ Leases ☐ Other	
(F)	77. THE SELLER SHOULD COMPLETE THIS SECTION IF QUESTION# 16 HAS BEEN ANSWERED "YES" OR IF SOMEONE IS TRANSFERRING THEIR LICENSE (S) TO YOU. the seller(s) or owner(s) of the busin	ess known
,	(Enter the <i>exact name(s)</i> that appears on the current license(s)	
as_	located at Kentucky, am the h	nolder of a
N	lalt Beverage (beer) $\Box$ Liquor by Drink $\Box$ Liquor by Package $\Box$ (other) license(s). The license nu	mber(s) is
(are	I hereby represent that I have agreed to convey all license privileges (permitted	d by law) to
	. I (we) understand that I (we) may not relinquish control of the	business,
(E	Inter the <b>exact name(s)</b> that is applying to become the new licensee) Inises, or my interest in the licenses until such time as the buyer's application has been approved by the Office of Alcoholic Beverage Col	ntrol.
Sigi	nature of Seller Title Date (If a partnership, all partners must sign. If a corporation, only one officer must sign)	
Swo	rn or affirmed before me on this day of, year of My Commission expires	
Nota	rry Public State of State of	
	ry Public County of State of State of (Canadian applicants are exempt from this notary requirement)	
(G)	18. AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE (S)	
this in a Alco ordin this	(print your name here)	not engage partment of ations, and ction D-7 of
	nature of Buyer or New Applicant Date	
	rn or affirmed before me on this day of, year of My Commission expires	
Nota	ry Public County of State of	
	(Canadian applicants are exempt from this notary requirement)	

KY ABC-Remittance Form January 19, 2010

# Commonwealth of Kentucky **Dept. of Alcoholic Beverage Control**1003 Twilight Tr. Frankfort, Ky. 40601-8400 <a href="http://abc.ky.gov/">http://abc.ky.gov/</a>

(502) 564-4850 Phone (502) 564-1442 Fax

Page 1 – Schedule W Revised 01/19/10

## SCHEDULE "W"

Site I.D. #

## LIQUOR & WINE WHOLESALER AND BEER DISTRIBUTOR NAD SMALL FARM WINERY WHOLESALER LICENSE

LEAVE BLANK – FOR ABC USE ONLY									
Lice	nse #	_\$	_ Validating #	License #	_\$	Validating #			
Lice	nse #	_\$	_ Validating #	_ License #	_\$	Validating #			
Malt	Malt Beverage Administrator's Signature of Approval Date								
Disti	lled Spirits Administrator'	s Signature of A	Approval			_ Date			
<i>(А).</i> <b>Ар</b>	plicant's name(	s) or com	pany to be licensed	t					
D.E	B.A. (Name of B	usiness)							
Ad	dress of premis	es to be I	icensed						
(B).									
1.	Are you applying fo	r a <b>Beer dis</b>	tributor license under h	(RS 243.180?		Yes No			
1a.		wer and sup	ds of malt beverages ma plier filed with the ABC ( n.						
1b.	Are your Ky. ABC '	'Forms 714'	completed and attached	d?		Yes □ No			
2.	If yes, KRS 243.400	) requires ea	le liquor and wine lice ach wholesaler to attach aree times the monthly ta	a surety bond in the i	minimum am	ount of			
	a maximum amoun	t of \$25,000.	Is your surety bond att	ached?		Yes No			
2a.	which are intended wholesalers in this	for sale or s state to who	lesaler who owns or has old in the state, shall reg m distributing rights hav e officer for sale or sold i	gister on ABC Form 7 e been granted on on	15 the name	of the			
	Are your brand regi	istration ABC	"Forms 715" complete	d and attached?		Yes 🗌 No			
2b.	2b. KRS 243.340 allows a special agent or solicitor license to be issued to a sales representative of liquor and wine wholesalers. Each sales representative must complete a separate application (Schedule "A") and obtain a license before soliciting products in Kentucky.								
3.	Are you applying for	or a <b>Small fa</b>	arm winery wholesale l	icense under KRS 24	43.154?	Yes No			
3a.	If yes, is your busing	ness to be lic	censed located outside o	of Kentucky?		Yes 🗌 No			
3b.	If yes, have you at	tached a cop	by of the state license wh	nere your premises a	re located?	Yes 🗌 No			
3c.	Have you attached	l a copy of yo	our federal license(s) iss	ued by the TTB?		Yes 🗌 No			
3d.	3d. KRS 244.440 requires every wine supplier (owner) to register with the Ky. State ABC the name of the Kentucky wholesaler to whom distributing rights have been granted. The Ky. ABC office uses ABC form 715 to furnish such information.								
	Is your brand regis	tration ABC	"Forms 715" completed	and attached?					
3e.		sales repres	gent or solicitor license sentative must complete citing products.						

Page 2 - Schedule - W	
Revised 01/19/10	

Site ID#

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(	U)

KRS 243.360 requires an applicant to *first advertise* their intention to apply for these licenses in the newspaper. Please use the attached example to assist you with this requirement.

Place your advertisement  $\underline{\textit{once}}$  in the  $\underline{\textit{legal section}}$  of the newspaper having the  $\underline{\textit{largest circulation}}$  for the  $\underline{\textit{county}}$  where your premises will be located. KRS 424.120 and 242.130(1)(b) describes qualified newspapers.

After your advertisement has appeared in the paper, obtain a clipping from the paper and attach the Affidavit of Publication to your ABC application. The <u>Affidavit of Publication</u> is enclosed and should be completed by an official of the newspaper where the advertisement appeared.

(D).

I do hereby solemnly swear or affirm that all statements contained in this application and all attachments are true and correct to the best of my knowledge, information and belief. I incorporate this schedule into my ABC Basic application for a Kentucky alcoholic beverage license. I understand I may not begin to operate with alcohol activity until the Kentucky ABC Office has issued my license(s). I further swear or affirm I shall abide by all state and local statutes, regulations, and ordinances relating to the manufacture, sale, use or and trafficking in alcoholic beverages.

Signature of Applicant _	Title	Date

(E).

Do not complete this Section (E) if you are applying for a small farm winery wholesale license.

#### OBTAIN SIGNATURE OF LOCAL ABC ADMINISTRATOR'S APPROVAL

Your Local ABC Administrator must approve this application before it is forwarded to the State ABC. Take or mail this application schedule, the ABC Basic application, and all attachments to your Local ABC Administrator. Obtain their signature of approval below or make arrangements for this approval to be sent to the State ABC Office. This certifies that the applicant(s) herein above named have been approved for the types of license applied for and for the premises above specified.

SIGNATURE OF APPROVAL OF LOC	CAL ABC ADMINISTRATOR	Date
☐ City of	Administrator (or) the County of	Administrator

(F).

You may now forward this application schedule, the ABC Basic application, all attachments, and your state license fee to:

KENTUCKY DEPT. OF ALCOHOLIC BEVERAGE CONTROL 1003 Twilight Trail Frankfort, Kentucky 40601-8400

> Telephone 502-564-4850 Fax 502-564-1442 http://abc.ky.gov/

Page 3 – Schedule - W Revised 01/19/10

## **TYPES OF LICENSE & FEES**

Site I.D. #	

Attach a certified check, cashier check, or a money order.

Make payable to: <u>KENTUCKY STATE TREASURER</u>

<u>WE DO NOT ACCEPT CASH!</u>

LICENSE TYPE	<u>PREFIX</u>	•	FULL YEAR FEE Pay this amount	HALF YEAR FEE Pay this amount
WHOLESALE LIQUOR AND WINE KRS 243.160	WH		2,000.00	1,000.00
MALT BEVERAGE BEER DISTRIBUTOR KRS 243.180	MD		500.00	250.00
SMALL FARM WINERY WHOLESALER KRS 243.154 and 804 KAR 4:420	SFWW		100.00	50.00
	CHECK LIST	•		
We do not accept cash! Have you attached a cepayable to: Ky. State Treasurer for your License fee		cash	ier check or money orde	er, □Yes □ No
2. Have the buyer and seller (if applicable) signed and	I had this app	olicati	on notarized?	□Yes □ No
3. Have you answered each question fully and check you are applying for?	ed the type(s	s) of li	cense(s)	□Yes □ No
4. Have you signed your application(s) and had your	signature no	tarize	d?	□Yes □ No
Have you secured the signature of approval from y     Administrator on this application?	our local AB	С		□Yes □ No □ N/A
Have you attached a certified copy of your newspathis license?	aper advertise	emen	t for	□Yes □ No □ N/A
7. Have you attached articles of incorporation, partne organizational papers?	ership papers	, or o	ther	□Yes □ No □ N/A
8. Have you attached a deed or a signed copy of you your license expires?	ır lease that o	does i	not expire before	□Yes □ No □ N/A
If applying for a liquor wholesalers license, or a sm have you attached your Brand Registration forms (		-	nolesale license	☐ Yes ☐ No
10. If applying for a liquor wholesalers license, have your surety bond on your alcoholic beverage inventory.		a copy	of your	☐ Yes ☐ No
If applying for a malt beverage distributors license and Territory Designation forms from each supplies.	•		· ·	☐ Yes ☐ No
12. If you are applying for a small farm winery wholes federal license issued by TTB?	aler's license	have	you attached a copy of	your ☐Yes ☐ No ☐ N/A
13. Have you enclosed your Criminal Background Rerresided for the past five (5) years?	cord Check fi	rom th	ne state(s) where you ha	ave

### FORWARDING YOUR APPLICATION TO THE KENTUCKY ABC Department

You may now forward this application schedule (W), the ABC Basic application, all attachments, and your state license fee to:

Commonwealth of Kentucky
Department of Alcoholic Beverage Control
1003 Twilight Trail
Frankfort, Kentucky 40601-8400

Telephone (502) 564-4850 Fax (502) 564-1442

http://abc.ky.gov

(Bond) 01/19/10

#### COMMONWEALTH OF KENTUCKY Dept. of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400

Site	ID#	

Telephone (502) 564-4850 Fax (502) 564-1442

DISTILLED SPIRITS, WINE AND MALT BEVERAGE TAX BOND	
Name of Applicant	
AddressCounty	
Having filed an application to engage in the business of trafficking in distilled spirits an accordance with the Alcoholic Beverage Control Laws Acts of the 1983 General Asser as amended, now we,, Principal and, Surety, of (name of Surety)	mbly of Kentucky,
Surety, of (name of Surety)	incipal will pay to
This bond shall not be binding on either Principal or Surety unless the license applied this bond is required to issue to the Principal upon proper authority of the Commonwea and shall be subject to cancellation upon sixty (60) days written notice by the Principal proper authority of the Commonwealth of Kentucky.	alth of Kentucky,
This bond shall expire on	
Witness our hand thisday of	,·
Signature of Principal	
Signature of Surety	_ Date
All applicants for a Brewer, Distiller, Rectifier, Blender, Vintner, Wholesaler or Non-Res Permit must execute a bond and submit the application for a License. The amount of determined by the Dept. of Alcoholic Beverage Control and the Kentucky Revenue Ca (KRS 243.400 and KRS 243.410.)	the bond to be

ABC Form 715 Distilled Spirits and Wine Brand Registration Page 1 of 2 01/19/10

#### DISTILLED SPIRITS AND WINE BRAND REGISTRATION

Commonwealth of Kentucky

#### **Dept. of Alcoholic Beverage Control**

1003 Twilight Trail
Frankfort, Kentucky 40601-8400
Phone (502) 564-4850
Fax (502) 564-1442
http://abc.ky.gov

In	compliance with KRS 244.165, 244.167, 244.440, 244.450, and 80 be distributed by the followin	04 KAR 4:240 we hereby register og named Kentucky Wholesalers:	our brands listed herein, which will
1.	Supplier (Company Name)	(Phone)	(Fax)
2.	Supplier Complete Address		
3.	Supplier's Federal Permit Number	(Contact Persor	n)
4.	Authorized Signature of Supplier		Date
5.	Kentucky Wholesaler Name	(Phone)	(Fax)
6.	Wholesaler's Complete Address		
7.	Wholesaler's Federal Permit Number	(Contact Perso	on)
8.	Authorized Signature of Wholesaler	Da	te
9.	Name of Brands (Please print clearly one Brand per libeing added.)	ine) (Include <u>all current</u> appr	oved brands and <u>new brands</u>
	LIST ALL CURRENT & NEW BRANDS	LIST ALL CURRE	NT & NEW BRANDS
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	SUBMIT OR FAX	ONE COPY TO (502) 564-1442.	
	ABC will send the Kentucky Do not include Distilled Spirits and Wi	/ Wholesaler this copy after its approne Labels or BATF Label documenta	

**SUPERSEDES FILE NUMBER** 

**EFFECTIVE DATE** 

THIS FILE NUMBER

ABC Form 715 Distilled Spirits and Wine Brand Registration Page 2 of 2 01/19/10

LIST ALL CURRENT & NEW BRANDS	LIST ALL CURRENT & NEW BRANDS
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ABC Form 714 Beer Brand Registration Page 1 of 2 Revised 01/19/10

## MALT BEVERAGE BREWER BRAND APPROVAL AND DISTRIBUTOR TERRITORIAL DESIGNATION AGREEMENT IN KENTUCKY

Commonwealth of Kentucky
Dept. of Alcoholic Beverage Control
1003 Twilight Trail
Frankfort, Kentucky 40601-8400

Telephone (502) 564-4850 Fax (502) 564-1442 hppt//:abc.ky.gov

In compliance with KRS 244.165, 244.167, 244.585, 244.602, 244. 604, 244.606, and 804 KAR 4:330 we hereby register our brands listed herein, which will be distributed by the following named Kentucky Malt Beverage Distributors:

A SEPARATE FORM MUST BE MADE FOR EACH BRAND IF HANDLED IN MORE THAN ONE TERRITORY BY DIFFERENT BEER DISTRIBUTORS.

THIS FORM MAY BE REPRODUCED IF NECESSARY.

SUBMIT TO THE KENTUCKY ABC DEPARTMENT YOUR REQUEST FOR APPROVAL NO LATER THAN 20 DAYS PRIOR TO THE INTRODUCTION OF A NEW BRAND IN KENTUCKY OR ANY CHANGES IN CURRENT AGREEMENTS.

1.	BREWER NAME	
	ADDRESS	
	TELEPHONE #	FAX #
	CONTACT PERSON (print name)	TITLE
2.	SUPPLIER INFORMATION: check one (1). Are you the	importer or the master distributor for this brand(s)?
	COMPANY NAME	
	ADDRESS	
	TELEPHONE #	FAX #
	CONTACT PERSON (print name)	TITLE
	LICENSE NUMBER	··································
3.	KENTUCKY BEER DISTRIBUTOR'S NAME	
	ADDRESS	
	TELEPHONE #	FAX #
	CONTACT PERSON (print name)	TITLE
	LICENSE NUMBER	·
	CONTINUED ON PA	AGE (2) TWO

ABC Form 714 Beer Brand Registration Page 2 of 2 01/19/10

#### MALT BEVERAGE BREWER BRAND APPROVAL AND DISTRIBUTOR TERRITORIAL DESIGNATION AGREEMENT IN KENTUCKY

#### PAGE TWO OF TWO

		the Kentucky Distributor listed in # 3 of this form.
<del></del>		<del>-</del>
	everages currently assigned, or have been recently assigned	
•	e signature of the Kentucky Beer Distributor this agreement v	
5. <b>TERRITORY INFORM</b>	<b>IATION</b> : Describe the assigned territory:	
6. <b>SIGNATURES:</b>		
6. SIGNATURES: Signature of Brewer:	Title	Date
		Date
Signature of Brewer:  Print name of person signing:  Signature of Importer or		
Signature of Brewer:  Print name of person signing:  Signature of Importer or Master Supplier (if applicable		
Signature of Brewer:  Print name of person signing:  Signature of Importer or Master Supplier (if applicable  Print name of person signing:	e):Title _	Date
Signature of Brewer:  Print name of person signing:  Signature of Importer or Master Supplier (if applicable  Print name of person signing:  Signature of Kentucky Beer I	<b>e):</b> Title _	Date
Signature of Brewer:  Print name of person signing:  Signature of Importer or Master Supplier (if applicable  Print name of person signing:  Signature of Kentucky Beer I  Print name of person signing:  Signature of Distributor bein	e):Title	DateDate